

First Sentier Investors Global Umbrella Fund plc - Summary of Investor Rights



Please be aware that this note is intended as a short summary of your key rights as shareholders in, and on the share register of, First Sentier Investors Global Umbrella Fund plc (the “Company”) for the purposes of the [European Union Cross Border Distribution Regulation \(Regulation \(EU\) 2019/1156\)](#). It is not intended to be and cannot be an exhaustive list of all rights which shareholders may have in respect of the Company. Please refer to the Company’s Prospectus for further details in respect of the Company. Capitalised terms used in this summary and not otherwise defined have the meanings given to them in the Prospectus.

- **Legal Relationship** – The legal relationship between the Company and you is based on contract, statute and regulation. The contractual relationship is governed principally by the application form executed or which applies when subscribing for shares in the Company, the prospectus, the relevant country prospectus supplement and the memorandum and articles of association of the Company (the “Governing Documents”).

As an investor in the Company, you have various rights which derive from (i) the Governing Documents, and (ii) applicable law and regulation which includes but is not limited to, the Companies Act 2014, the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations 2011 and the Central Bank (Supervision and Enforcement) Act 2013 (Section 48(1)) (Undertakings for Collective Investment in Transferable Securities) Regulations 2019, and the Irish Data Protection Acts 1988 to 2018, the General Data Protection Regulation (Regulation (EU) 2016/679), the EU ePrivacy Directive 2002/58/EC, each as amended or replaced from time to time.

- **Right to Participate in the Investments of the Company** – Your Shares entitle you to participate proportionately in the profits and losses of the Fund to which the Shares relate, subject to any differences between the terms applicable to different Share Classes. Full details of each Fund’s investment objective and policy are set out in the Prospectus.
- **Right to Receive Income** – Each Shareholder has the right to a proportionate share of the income (if any) of the Fund in which the Shareholder has invested. Depending on the nature of the Shares held by the Shareholder, such income may either be accumulated into the Net Asset Value of the Shareholder’s Shares or distributed to the Shareholder as a dividend payment, in accordance with the terms of the Prospectus.
- **Right to Receive Information** – Each Shareholder has the right to receive certain information about the Company and the Fund(s) in which the Shareholder has invested. This information will include the Company’s annual and semi-annual financial statements and further information may be available on request. Further details in this respect are contained in the Prospectus.
- **Right to Attend and Vote at Shareholder Meetings** – Each Shareholder has the right to receive notice of, attend in person or by proxy and vote at general meetings (if any) of the Company and any meetings of the Shareholders of the particular Fund or Share Class in which the Shareholder has invested. Subject to any special rights or restrictions for the time being attached to any Share Class, at a meeting of Shareholders, on a show of hands, each Shareholder shall have one vote and, on a poll, each Shareholder shall have one vote for each Share held by such Shareholder.
- **Right to Redeem Shares** – Each Shareholder has the right to request the Company to redeem the Shareholder’s Shares on any Dealing Day subject to the terms of the redemption process set out in the Prospectus. In order to redeem Shares as of any particular Dealing Day, Shareholders must submit a completed redemption request form to the Administrator, a financial representative or the local dealing office by 10.00 a.m. (Irish time) on a Dealing Day.
- **Right to Data Privacy** – Subject to applicable law, investors may have rights in respect of their personal data, including a right to access and rectification of their personal data and in some circumstances a right to object to the processing of their personal data. The Privacy Statement is available at www.firstsentierinvestors.com within the Company’s application form.
- **Right to Complain** – Each Shareholder which is not satisfied with its experience as an investor in the Company is entitled to bring a complaint to the Company in accordance with the applicable complaints handling policy. Information regarding the Company’s complaint procedures is available to Shareholders free of charge upon request. Shareholders may file any complaints about the Company or a Fund free of charge at the registered office of the Company. Shareholders may also have the right to complain to the [Irish Financial Services and Pensions Ombudsman](#).

This summary of key rights is not and does not purport to be exhaustive and Shareholders should review the Prospectus in its entirety and consult with their professional advisers to understand their rights more fully.

The Governing Documents are governed by Irish law. In the event that an investor believes they have suffered loss as a result of the actions or inactions of the Company, an investor may take proceedings against the Company and/or, if an actionable cause exists, the other service providers to the Company in the Irish courts or, in certain circumstances, in the courts of another jurisdiction. Whether the judgement of a foreign court will be recognised and enforced against the Company in Ireland will depend on the circumstances of the case and will be subject to the relevant national and international law that governs such matters in Ireland.